0 5. 12. 03



Europäisches **Patentamt** 

European **Patent Office**  Office européen des brevets

> REC'D 22 DEC 2003 PCT WIPO

Bescheinigung

Certificate.

**Attestation** 

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application conformes à la version described on the following page, as originally filed.

Les documents fixés à cette attestation sont initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patent application No. Demande de brevet n° Patentanmeldung Nr.

02293132.3

PRIORITY DOCUMENT SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH

RULE 17.1(a) OR (b)

Der Präsident des Europäischen Patentamts: Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets p.o.

R C van Dijk



Office européen des brevets

Anmeldung Nr:

Application no.: 02293132.3

Demande no:

Anmeldetag:

Date of filing: 18.12.02

Date de dépôt:

Anmelder/Applicant(s)/Demandeur(s):

Koninklijke Philips Electronics N.V. Groenewoudseweg 1 5621 BA Eindhoven PAYS-BAS

Bezeichnung der Erfindung/Title of the invention/Titre de l'invention: (Falls die Bezeichnung der Erfindung nicht angegeben ist, siehe Beschreibung. If no title is shown please refer to the description.

Si aucun titre n'est indiqué se referer à la description.)

Video encoding method

In Anspruch genommene Prioriät(en) / Priority(ies) claimed /Priorité(s) revendiquée(s)
Staat/Tag/Aktenzeichen/State/Date/File no./Pays/Date/Numéro de dépôt:

Internationale Patentklassifikation/International Patent Classification/Classification internationale des brevets:

H04N7/50

Am Anmeldetag benannte Vertragstaaten/Contracting states designated at date of filing/Etats contractants désignées lors du dépôt:

AT BE BG CH CY CZ DE DK EE ES FI FR GB GR IE IT LI LU MC NL PT SE SI SK

"VIDEO ENCODING METHOD"

#### FIELD OF THE INVENTION

5

10

15

20

25

30

35

The present invention generally relates to the field of data compression and, more specifically, to a method of encoding a sequence of frames, composed of picture elements (pixels), by means of a three-dimensional (3D) subband decomposition involving a filtering step applied, in the sequence considered as a 3D volume, to the spatial-temporal data which correspond in said sequence to each one of successive groups of frames (GOFs), these GOFs being themselves subdivided into successive pairs of frames (POFs) including a so-called previous frame and a so-called current frame, said decomposition being applied to said GOFs together with motion estimation and compensation steps performed in each GOF on saids POFs and on corresponding pairs of low-frequency temporal subbands (POSs) obtained at each temporal decomposition level.

The invention also relates to a computer programme comprising a set of instructions for the implementation of said encoding method, when said programme is carried out by a processor included in an encoding device.

#### **BACKGROUND OF THE INVENTION**

In recent years, three-dimensional (3D) subband analysis, based on a 3D, or (2D+t), wavelet decomposition of a sequence of frames considered as a 3D volum has been more and more studied for video compression. The coefficients generated by the wavelet transform constitute a hierarchical pyramid in which the spatio-temporal relationship is defined thanks to 3D orientation trees evidencing the parent-offspring dependencies between coefficients, and the in-depth scanning of the generated coefficients in the hierarchical trees and a progressive bitplane encoding technique lead to a desired quality scalability. The practical stage for this approach is to generate motion compensated temporal subbands using a simple two taps wavelet filter, as illustrated in Fig.1 for a GOF of eight frames.

In the illustrated implementation, the input video sequence is divided into Groups of Frames (GOFs), and each GOF, itself subdivided into successive couples of frames (that are as many inputs for a so-called Motion-Compensated Temporal Filtering, or MCTF module), is first motion-compensated (MC) and then temporally filtered (TF). The resulting low frequency (L) temporal subbands of the first temporal decomposition level are further filtered (TF), and the process may stop after an arbitrary number of decompositions resulting in one or more low frequency subbands called root temporal subbands (in the illustration, an example with two decomposition levels resulting in two root subbands LL is presented). In the example of Fig.1, the frames of the illustrated group are referenced F1 to F8, and the dotted arrows correspond to a high-pass

temporal filtering, while the other ones correspond to a low-pass temporal filtering. Two stages of decomposition are shown (L and H = first stage; LL and LH = second stage). At each temporal decomposition level of the illustrated group of 8 frames, a group of motion vector fields is generated (in the present example, MV4 at the first level, MV3 at the second one).

5.

10

15

20

25:

30

When-a-Haar-multiresolution-analysis-is-used-for-the-temporal decomposition, since one motion vector field is generated between every two frames in the considered group of frames at each temporal decomposition level, the number of motion vector fields is equal to half the number of frames in the temporal subband, i.e. four at the first level of motion vector fields and two at the second one. Motion estimation (ME) and motion compensation (MC) are only performed every two frames of the input sequence (generally in the forward way), due to the temporal downsampling by two of the simple wavelet filter. Using these very simple filters, each low frequency temporal subband (L) represents a temporal average of the input couples of frames, whereas the high frequency one (H) contains the residual error after the MCTF step.

Unfortunately, the motion compensated temporal filtering may raise the problem of unconnected picture elements (or pixels), which are not filtered at all (or also the problem of double-connected pixels, which are filtered twice). The number of unconnected pixels represents a weakness of a 3D subband codec approaches because it highly impacts the resulting picture quality (particularly in occlusion regions). It is especially true for high motion sequences or for final temporal decomposition levels, where the temporal correlation is not good. The number of these unconnected pixels depends on the dense motion vector field that has been generated by the motion estimation.

Current criteria for optimal motion vector search used in motion estimators do not take into account the number of unconnected pixels that will be the result of motion compensation. Most sophisticated algorithms use a rate/distortion criterion which tends to minimize a cost function that depends on the displaced difference energy (distortion) and the number of bits spent to transmit the motion vector (rate). For example, the motion search returns the motion vector that minimises:

$$J(\mathbf{m}) = SAD(s, c(\mathbf{m})) + \lambda_{MOTION} \cdot R(\mathbf{m} - \mathbf{p})$$
 (1)

with  $\mathbf{m} = (m_x, m_y)^T$  being the motion vector,  $\mathbf{p} = (p_x, p_y)^T$  being the prediction for the motion vector, and  $\lambda_{MOTION}$  being the Lagrange multiplier. The rate term  $R(\mathbf{m} - \mathbf{p})$ 

represents the motion information only and  $S\!A\!D$  is used as distortion measure. It is computed as :

$$SAD(s, c(\mathbf{m})) = \sum_{x=1, y=1}^{B, B} |s[x, y] - c[x - m_x, y - m_y]|$$
 (2)

with s being the original video signal, c being the coded video signal and B being the block size (note that B can be 1).

Unfortunately, these algorithms do not take into account the distortion introduced by unconnected pixels during the inverse motion compensation because usually these optimizations are applied to hybrid coding for which the inverse motion compensation is not performed. A previous European patent application n°02293062.2 (PHFR020136), filed by the applicant on December 11, 2002, has then proposed a solution for avoiding this drawback. Said solution, in which the set of unconnected pixels is now taken into account in the distortion measure, relates to a method of encoding a sequence of frames, composed of picture elements (pixels), by means of a three-dimensional (3D) subband decomposition involving a filtering step applied, in the sequence considered as a 3D volume, to the spatial-temporal data which correspond in said sequence to each one of the successive GOFs. These GOFs are themselves subdivided into successive pairs of frames (POFs) including a so-called previous frame and a so-called current frame, said decomposition being applied to the GOFs together with motion estimation and compensation steps performed in each GOF on saids POFs and on corresponding pairs of low-frequency temporal subbands (POSs) obtained at each temporal decomposition level. The process of motion compensated temporal filtering leads in the previous frames on the one hand to connected pixels, that are filtered along a motion trajectory corresponding to motion vectors defined by means of said motion estimation steps, and on the other hand to a residual number of so-called unconnected pixels, that are not filtered at all. Each motion estimation step then comprises a motion search provided for returning a motion vector that minimizes a cost function depending at least on a distorsion criterion involving a distortion measure, said measure distorsion being also applied to the set of said unconnected pixels.

More precisely, for taking into account the set of unconnected pixels in the distortion measure, it has been proposed to introduce a new rate/distortion criterion that extends equation taking into account the unconnected pixels phenomenon. This is illustrated in equations (3) and (4):

$$K(\mathbf{m}) = J(\mathbf{m}) + \lambda_{UNCONNECTED} \cdot D(S_{UNCONNECTED}(\mathbf{m}))$$
(3)

$$K(\mathbf{m}) = SAD(s, c(\mathbf{m})) + \lambda_{UNCONNECTED} \cdot D(S_{UNCONNECTED}(\mathbf{m})) + \lambda_{MOTTON} \cdot R(\mathbf{m} - \mathbf{p})$$
(4)

with  $D(S_{\mathit{UNCONNECTED}}(\mathbf{m}))$  being the distortion measure for the set  $S_{\mathit{UNCONNECTED}}$  of unconnected pixels resulting from motion vector  $\mathbf{m}$ . Several distortion measures can be

5

10

15

20

25

4

PHFR020140 EPp

-applied-to-the-set-of-unconnected-pixels. A-very-simple-measure-is-preferably-the-count-ofunconnected pixels for the motion vector under study. Nevertheless, the real set of unconnected pixels resulting from a motion search can be computed only when the motion vectors information is available for the whole frame, and an optimal solution can hardly be achievable.

A-sub-optimal-implementation has then been proposed in the cited document, and it is here recalled. For a given part of the image to be motion compensated (a part of the image can be a pixel, a block of pixels , a macroblock of pixels or any region provided that the set of parts covers the whole image without any overlapping) and for a given motion vector candidate  $\mathbf{m}$ , a temporary inverse motion compensation is applied, the set of unconnected pixels is identified and  $D(S_{UNCONNECTED}(\mathbf{m}))$  can be evaluated. The current  $K(\mathbf{m})$  value can be computed and compared to the current minimum value  $K_{min}(\mathbf{m})$  to check if the candidate motion vector brings a lower  $K(\mathbf{m})$  value. When all the candidate have been tested, the (final) inverse motion compensation is applied to the best candidate (identifying connected and unconnected pixels). The next part of the image can then be processed, and so on up to a complete processing of the whole image.

However, in this non-recursive implementation, the resulting decisions are not spatially homogeneous over the whole image: for the first part of the image to be motion compensated, the set of unconnected pixels is empty, while the probability of unconnected pixels for the last part of the image to be motion compensated is very high. This situation can lead to heterogeneous spatial distorsions.

### SUMMARY OF THE INVENTION.

It is therefore an object of the invention to avoid such a drawback and to propose a video encoding method in which the problem of heterogeneous treatment resulting from the single-pass implementation recalled above is discarded or at least reduced.

To this end, the invention relates to a method of encoding a sequence of frames, composed of picture elements (pixels), by means of a three-dimensional (3D) subband decomposition involving a filtering step applied, in the sequence considered as a 3D volume, to the spatial-temporal data which correspond in said sequence to each one of successive groups of frames (GOFs), these GOFs being themselves subdivided into successive pairs of frames (POFs) including a so-called previous frame and a so-called current frame, said decomposition being applied to said GOFs together with motion estimation and compensation steps performed in each GOF on saids POFs and on corresponding pairs of low-frequency temporal subbands (POSs) obtained at each temporal decomposition level, this process of motion compensated temporal filtering leading in the previous frames on the one hand to connected pixels, that are filtered along a motion

10

5

15

20

25

30

trajectory corresponding to motion vectors defined by means of said motion estimation steps, and on the other hand to a residual number of so-called unconnected pixels, that are not filtered at all, each motion estimation step comprising a motion search provided for returning a motion vector that minimizes a cost function depending at least on a distorsion criterion involving a distortion measure, said measure distorsion being moreover applied to the set of said unconnected pixels according to the measures and rules defined in claims 2 and 3.

#### **BRIEF DESCRIPTION OF THE DRAWINGS**

5

10

15

20

25

30

The present invention will now be described, by way of example, with reference to the accompanying drawing in which:

- Fig.1 shows a temporal multiresolution analysis with motion compensation.

#### **DETAILED DESCRIPTION OF THE INVENTION**

In order to discard the problem of heterogeneous spatial distortions observed with the previous implementation, it is now proposed to minimize the global criterion  $\sum K(m)$  for all parts of the whole image, which can be done with a multiple-pass implementation including the following steps.

First, for all the parts of the image, the optimal motion vector  $\mathbf{m}_{\mathrm{opt}}$  is computed, as well as a set of  $N_{\mathit{sub-opt}}$  sub-optimal motion vectors  $\{\mathbf{m}_{\mathit{sub-opt}}\}$  that provide the minimum values for  $J(\mathbf{m})$  of equation (1) (the number of unconnected pixels is not used at this stage). The number of sub-optimal vectors  $N_{\mathit{sub-opt}}$  is implementation dependent. For all these vectors, the corresponding value for the criterion  $J(\mathbf{m})$  is stored so that  $J(\mathbf{m}_{\mathrm{opt}})$  and  $\{J(\mathbf{m}_{\mathit{sub-opt}})\}$  are generated. Then an inverse motion compensation is applied for the optimal motion vectors  $\mathbf{m}_{\mathit{opt}}$  so that  $\sum_{al\ l\ parts} K(\mathbf{m}_{\mathit{opt}})$  can be computed (note that  $\sum_{al\ l\ parts} K(\mathbf{m}_{\mathit{opt}})$  is not the optimal value for  $\sum_{al\ l\ parts} K(\mathbf{m})$  because  $\mathbf{m}_{\mathit{opt}}$  is optimizing  $J(\mathbf{m})$  and not  $J(\mathbf{m})$ . From the list of sub-optimal vectors, the candidate motion vector  $\mathbf{m}_{\mathit{candidate}}$  minimizing  $|\{J(\mathbf{m}_{\mathit{opt}})\} - \{J(\mathbf{m}_{\mathit{candidate}})\}|$  is then selected (note that  $\mathbf{m}_{\mathit{candidate}}$  can be a vector of any part of the current image). For the set of optimal motion vectors and the candidate vector (in place of the optimal vector for the corresponding part of the image), an inverse motion compensation is applied  $\sum_{al\ l\ parts} K(\mathbf{m})$  is again computed. If its value is lower than  $\sum_{al\ l\ parts} K(\mathbf{m}_{\mathit{opt}})$ , the optimal

PHFR020140 EPp

value of  $\mathbf{m}_{\text{opt}}$  is replaced by  $\mathbf{m}_{\text{candidate}}$  (for the corresponding part of the image). Finally

 $\mathbf{m}_{\text{candidate}}$  is discarded from the list of sub-optimal vectors. Then a new candidate is selected and the same mechanism is applied until the list of sub-optimal vectors is empty, in order to obtain the optimal set of motion vectors.

#### CLAIMS:

5

10

15

20

25

- A method of encoding a sequence of frames, composed of picture elements 1. (pixels), by means of a three-dimensional (3D) subband decomposition involving a filtering step applied, in the sequence considered as a 3D volume, to the spatial-temporal data which correspond in said sequence to each one of successive groups of frames (GOFs), these GOFs being themselves subdivided into successive pairs of frames (POFs) including a so-called previous frame and a so-called current frame, said decomposition being applied to said GOFs together with motion estimation and compensation steps performed in each GOF on saids POFs and on corresponding pairs of low-frequency temporal subbands (POSs) obtained at each temporal decomposition level, this process of motion compensated temporal filtering leading in the previous frames on the one hand to connected pixels, that are filtered along a motion trajectory corresponding to motion vectors defined by means of said motion estimation steps, and on the other hand to a residual number of so-called unconnected pixels, that are not filtered at all, each motion estimation step comprising a motion search provided for returning a motion vector that minimizes a cost function depending at least on a distorsion criterion involving a distortion measure, said measure distorsion being also applied to the set of said unconnected pixels.
- 2. An encoding method according to claim 1, in which said motion search is provided for minimizing the following expression (1):

$$J(\mathbf{m}) = SAD(s, c(\mathbf{m})) + \lambda_{MOTION} \cdot R(\mathbf{m} - \mathbf{p})$$
 (1)

with  $\mathbf{m}=(m_x,m_y)^T$  being the motion vector,  $\mathbf{p}=(p_x,p_y)^T$  being the prediction for the motion vector,  $\lambda_{MOTION}$  being the Lagrange multiplier, the rate term  $R(\mathbf{m}-\mathbf{p})$  representing the motion information only, and SAD used as distortion measure being computed as:

$$SAD(s, c(\mathbf{m})) = \sum_{x=1,y=1}^{B,B} |s[x, y] - c[x - m_x, y - m_y]|$$
 (2)

with s being the original video signal, c being the coded video signal and B being the block size, and in which the distorsion criterion extends equation (1), taking into account the unconnected pixels phenomenon for the minimizing operation that is now applied to the following expression (3):

$$K(\mathbf{m}) = J(\mathbf{m}) + \lambda_{UNCONNECTED} \cdot D(S_{UNCONNECTED}(\mathbf{m}))$$
or 
$$K(\mathbf{m}) = SAD(s, c(\mathbf{m})) + \lambda_{UNCONNECTED} \cdot D(S_{UNCONNECTED}(\mathbf{m})) + \lambda_{MOTION} \cdot R(\mathbf{m} - \mathbf{p})$$
 (4)

····	with $D(S_{\overline{UNCONNECTED}}(\mathbf{m}))$ being the distortion measure for the set $S_{\overline{UNCONNECTED}}$ of
	unconnected pixels resulting from the motion vector ${f m}$ .
	3. An encoding method according to claim 2, in which, for taking into account
	the distortion due to the unconnected pixels, the global criterion $\sum$ [all parts]K(m) is
5	minimized for the whole image to be compensated, by means of the following steps:
	(a) the optimal motion vector $m_{opt}$ is computed, as well as a set of $N_{sub-opt}$ sub-
	optimal motion vectors {m <sub>sub-opt</sub> } that provide the minimum values for
	J(m) ;
	<ul><li>(b) for all these vectors, the corresponding value for the criterion J(m) is</li></ul>
10	stored, in order to generate $J(m_{opt})$ and $\{J(m_{sub-opt})\}$ ;
	(c) an inverse motion compensation is applied for the optimal motion vectors
	$m_{opt}$ , in order to compute $\sum$ [all parts] $K(m_{opt})$ ;
	(d) from the list of sub-optimal vectors, the candidate motion vector m <sub>candidate</sub>
•	minimizing $ \{J(m_{opt})\}-\{J(m_{candidate})\} $ is selected;
15	(e) for the set of optimal motion vectors and the candidate vector, an inverse
	motion compensation is applied, in order to compute again [all parts] K(m);
	(f) if the value of $\sum$ [all parts] K(m) is lower than $\sum$ [all parts] K(m <sub>opt</sub> ),
	the optimal value of mopt is replaced by mondidate, for the corresponding part of the image;
	(g) finally, m <sub>candidate</sub> is discarded from the list of sub-optimal vectors;
20	(h) a new candidate is selected, and the same mechanism is applied until the
	list of sub-optimal vectors is empty, in order to obtain the optimal set of motion vectors.
	4. A computer programme comprising a set of instructions for the
	implementation of a method according to claim 3, when said programme is carried out by a
25	processor included in an encoding device.
25	

#### **Abstract**

The invention relates to a method of encoding a sequence of frames by means of a three-dimensional (3D) subband decomposition involving a filtering step applied to the spatial-temporal data corresponding to successive groups of frames (GOFs), and to a recursive implementation of said method. The GOFs are subdivided into successive pairs of frames (POFs), and the decomposition is applied to said GOFs together with motion estimation and compensation steps performed on saids POFs and on corresponding pairs of low-frequency temporal subbands (POSs) obtained at each temporal decomposition level. The process of motion compensated temporal filtering leading in the previous frames on the one hand to connected pixels, that are filtered, and on the other hand to a residual number of unconnected pixels, that are not filtered, each motion estimation step comprises a motion search provided for returning a motion vector that minimizes a cost function depending at least on a distorsion criterion, said criterion taking into account the unconnected pixels phenomenon for the minimizing operation, itself based on specific rules allowing to obtain the optimal set of motion vectors.

Fig.1

15

10



# This Page is inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
BLURED OR ILLEGIBLE TEXT OR DRAWING
SKEWED/SLANTED IMAGES
☐ COLORED OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
☐ LINES OR MARKS ON ORIGINAL DOCUMENT
REPERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
□ OTHER:

IMAGES ARE BEST AVAILABLE COPY.
As rescanning documents will not correct images problems checked, please do not report the problems to the IFW Image Problem Mailbox